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EXPRESS MAIL LABEL NO. EV661145800US

PATENT APPLICATION

Docket No: 15474.3.1.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Trevor Adrian	)
		)
Serial No.	10/802,426	) Art Unit
		) 3751
Confirmation No.	3868	)
		)
Filed:	March 17, 2004	)
		)
For:	PORTABLE WATER HEATER	)
		)
Examiner:	Huyen D. Le	)

## TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

A. Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing Twenty-Seven (27) references submitted for consideration.

X Two (2) of the listed references.

B. Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance.

C. Fees

The Commissioner is hereby authorized to charge payment of or to credit any overpayment of fees associated with this communication to Deposit Account No. 23-3178.

X Form PTO-2038 in the amount of \$180.00 to secure consideration of these references.

DATED this 23<sup>rd</sup> day of June 2006.

Respectfully submitted,



ROBYN L. PHILLIPS  
Attorney for Applicant  
Registration No. 39,330  
Customer No. 022913

EXPRESS MAIL LABEL NO. EV661145800US

PATENT APPLICATION

Docket No: 15474.3.1.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of

Trevor Adrian

Serial No.

10/802,426

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Confirmation No.

3868

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Filed:

March 17, 2004

For:

PORTABLE WATER HEATER

Examiner:

Huyen D. Le

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

06/27/2006 CCHAU1 00000096 10802426

02 FC:1806

180.00 OP

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

Statement of Relevance of References Listed  
Unaccompanied by English Translation  
Under 37 C.F.R. § 1.98(a)(3)

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided.

JP701027521A

Abstract:

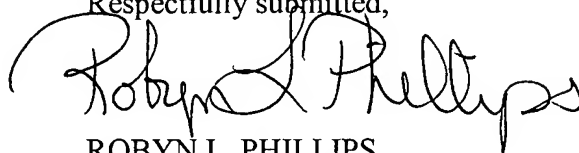
To provide a shower device simply portable by an automobile by providing a water feed pump driven by a motor in the middle of a water feed pipe, and communicating the hot-water valve of a boiler and a water feed tank with a hot-water circulation pipe.

Submission Fee Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), Form PTO-2038 in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED this 23<sup>rd</sup> day of June 2006.

Respectfully submitted,



ROBYN L. PHILLIPS  
Attorney for Applicant  
Registration No. 39,330  
Customer No. 022913



Form PTO-149

Applicant:

Serial No.

Filing Date:

For:

Trevor Adrian

10/802,426

March 17, 2004

PORTABLE WATER HEATER

Sheet 1 of 3

Att'y Docket No. 15474.3.1.1

Confirmation No. 3868

### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

#### U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>
_____ A1.	6,430,760	08/13/2002	Johns
_____ A2.	6,354,571	03/12/2002	Hardee
_____ A3.	6,098,214	08/08/2000	Seiber
_____ A4.	6,059,143	05/09/2000	Weir
_____ A5.	6,049,919	04/18/2000	Roteman
_____ A6.	5,911,520	06/15/1999	Kenney
_____ A7.	5,515,558	05/14/1996	Dodge
_____ A8.	5,460,161	10/24/1995	Englehart et al.
_____ A9.	5,251,345	10/12/1993	Pechner
_____ A10.	5,111,538	05/12/1992	Chapman
_____ A11.	4,777,675	10/18/1988	Letner
_____ A12.	4,453,280	06/12/1984	Greenleaf
_____ A13.	3,939,503	02/24/1976	Nazworth
_____ A14.	3,925,828	12/16/1975	Kim
_____ A15.	3,768,458	10/30/1973	Williams
_____ A16.	3,738,351	06/12/1973	Watts
_____ A17.	3,709,198	01/09/1973	Williams

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

06/27/2006 LCN01 00000096 10802426

02 FC:1806

180.00 DP

Applicant: Trevor Adrian  
 Serial No. 10/802,426  
 Filing Date: March 17, 2004  
 For: PORTABLE WATER HEATER

Att'y Docket No. 15474.3.1.1  
 Confirmation No. 3868

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_____ A18.	3,431,565	03/11/1969	Nelson
_____ A19.	2,567,506	09/11/1951	Bowman
_____ A20.	1,991,557	02/06/1933	Johnson
_____ A21.	1,957,365	05/01/1934	Stewart
_____ A22.	969,714	09/06/1910	Meem
_____ A23.	913,832	03/02/1909	Lyon
_____ A24.	489,660	01/10/1893	Pellow
_____ A25.	DES 337,815	07/27/1993	Yoshida

#### Foreign Patent Documents

<u>Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>	<u>Translation</u>
_____ A26.	EP000107960A1	05/09/1984	EPO	N/A
_____ A27.	JP401027521A	01/30/1989	Japan	No

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 Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant: Trevor Adrian  
Serial No. 10/802,426  
Filing Date: March 17, 2004  
For: PORTABLE WATER HEATER

Att'y Docket No. 15474.3.1.1  
Confirmation No. 3868

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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

CM0000006441V001

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.